

Please use a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/723,480	
	Filing Date	November 28, 2000	
	First Named Inventor	Dave McDysan et al.	
	Group Art Unit	2661	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	RIC-00-044

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Declaration and Power of Attorney Copy of Notice to File Missing Parts
Remarks		

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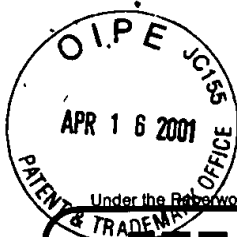
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul Roberts Reg. No. 40,289 WorldCom, Inc.
Signature	<i>[Signature]</i>
Date	4/13/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/13/01	
Typed or printed name	Tracy Goodwin
Signature	<i>[Signature]</i>
Date	April 13 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00

Complete if Known

Application Number 09/723,480
Filing Date November 28, 2000
First Named Inventor Dave McDysan et al.
Examiner Name Not Assigned
Group Art Unit 2661
Attorney Docket No. RIC-00-044

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

13-2491

Deposit
Account
Name

WorldCom, Inc.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

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SUBMITTED BY

Name (Print/Type)

Paul Roberts

Signature

Registration No.
(Attorney/Agent)

40,289

Complete (if applicable)

Telephone

202-736-6604

Date

4/13/4

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



H-A-

See for \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave McDysan et al.

Serial No. 09/723,480

Filed: November 28, 2000

For: **Message, Control and Reporting
Interface for a Distributed Network
Access System**

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Attorney Docket No. **RIC00044**

Examiner: **Unknown**

Art Unit: **Unknown**

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

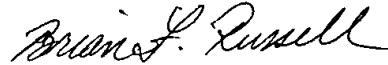
Responsive to the Notice to File Missing Parts mailed on March 29, 2001, enclosed are:

- (1) Two duly executed Declarations and Powers of Attorney;
- (2) Check in the amount of \$130.00 for the late filing fee for a non-small entity as set forth in 37 CFR 1.16(e); and
- (3) Part 2 - return copy.

CERTIFICATE OF MAILING 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents; Box: Missing Parts; Washington, D.C. 20231 on April 9, 2001.	
<u>April Binder</u> Typed or printed name of person signing paper	<u>[Signature]</u> Signature of person signing paper

No additional fee is believed to be necessary; however, in the event that any additional fee is required, please charge it to **Bracewell & Patterson, L.L.P., Deposit Account Number 50-0259.**

Respectfully submitted,



Brian F. Russell
Reg. No. 40,796
BRACEWELL & PATTERSON, L.L.P.
Suite 350, Lakewood on the Park
7600B North Capital of Texas Highway
Austin, Texas 78731
(512) 343-6116
Attorney for Applicants



0410
2152
Docket No.: RIC-00-044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

BOX: MISSING PARTS

McDysan, et al.

Application No.: 09/723,480

Group Art Unit: 2661

Filed: November 28, 2000

Examiner: Not Assigned

Title: Message, Control and Reporting Interface for a Distributed Network Access System

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

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Technology Center 2600

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(e) dated March 29, 2001, enclosed please find:

- ☒ a Declaration and Power of Attorney executed by the inventor(s) and the surcharge of \$130.00 (fee code 105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Please note that the inventor(s) identified on the currently filed Declaration and Power of Attorney are different than those listed on the application filing papers.
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ an Assignment and a separate check for the Assignment recordation fee in the amount of \$40.00 (fee code 581);
- ☒ other: Fee Transmittal; and
- ☐ a check in the amount of \$ _____ for the fee due for missing parts.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper or to credit any overpayment to Deposit Account No. 13-2491. This paper is submitted in duplicate.

Respectfully submitted,

Date: 4/13/01
WorldCom, Inc.
1133 19th Street, NW
Washington, DC 20036
Phone (202) 736-6604
Fax (202) 736-6382

Paul Roberts
Registration No. 40,289



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,480	11/28/2000	Dave McDysan	RIC00044

CONFIRMATION NO. 7587

FORMALITIES LETTER



OC000000005914163

FELSMAN, BRADLEY, VADEN, GUNTER & DILLON, LLP
Suite 350 Lakewood on the Park
7600B North Capital of Texas Highway
Austin, TX 78731

Date Mailed: 03/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

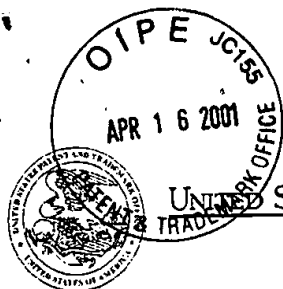
A copy of this notice MUST be returned with the reply.

WAT
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 SSESHE1 00000031 09723480
01 FC:105
130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,480	11/28/2000	Dave McDysan	RIC00044

CONFIRMATION NO. 7587

FORMALITIES LETTER



OC000000005914163

FELSMAN, BRADLEY, VADEN, GUNTER & DILLON, LLP
Suite 350 Lakewood on the Park
7600B North Capital of Texas Highway
Austin, TX 78731

Date Mailed: 03/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 EABUBAK1 00000063 132491 09723480

01 FC:105 130.00 CH